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APPLICATION NUMBER

FILING OR 371 (c) DATE

FIRST NAMED APPLICANT

ATTORNEY DOCKET NUMBER

10/808.610

03/25/2004

Sven Grzebeta

741439-12

22204 NIXON PEABODY, LLP 401 9TH STREET, NW SUITE 900 WASINGTON, DC 20004-2128



CONFIRMATION NO. 5030

FORMALITIES LETTER

OC000000012888647

Date Mailed: 06/07/2004

NOTICE TO FILE MISSING PARTS OF NONPROVISIONAL APPLICATION

08/05/2004 ADSMAN1 00000030 192380

10808610

FILED UNDER 37 CFR 1.53(b)

01 FC:1001 02 FC:1051

770.00 DA 130.00 DA

Filing Date Granted

Items Required To Avoid Abandonment:

An application number and filing date have been accorded to this application. The item(s) indicated below, however, are missing. Applicant is given TWO MONTHS from the date of this Notice within which to file all required items and pay any fees required below to avoid abandonment. Extensions of time may be obtained by filing a petition accompanied by the extension fee under the provisions of 37 CFR 1.136(a).

- The statutory basic filing fee is missing. Applicant must submit \$ 770 to complete the basic filing fee for a non-small entity. If appropriate, applicant may make a written assertion of entitlement to small entity status and pay the small entity filing fee (37 CFR 1.27).
- The oath or declaration is missing. A properly signed oath or declaration in compliance with 37 CFR 1.63, identifying the application by the above Application Number and Filing Date, is required.
- To avoid abandonment, a late filing fee or oath or declaration surcharge as set forth in 37 CFR 1.16(e) of \$130 for a non-small entity, must be submitted with the missing items identified in this letter.

The applicant needs to satisfy supplemental fees problems indicated below.

The required item(s) identified below must be timely submitted to avoid abandonment:

 Additional claim fees of \$878 as a non-small entity, including any required multiple dependent claim fee, are required. Applicant must submit the additional claim fees or cancel the additional claims for which fees are due.

SUMMARY OF FEES DUE:

Total additional fee(s) required for this application is \$1778 for a Large Entity

- \$770 Statutory basic filing fee.
- \$130 Late oath or declaration Surcharge.

- Total additional claim fee(s) for this application is \$878
 - \$86 for 1 independent claims over 3.
 - \$792 for 44 total claims over 20.

Replies should be mailed to: Mail Stop Missing Parts

Commissioner for Patents

P.O. Box 1450

Alexandria VA 22313-1450

A copy of this notice <u>MUST</u> be returned with the reply.

Customer Service Center Initial Patent Examination Division (703) 308-1202

PART 1 - ATTORNEY/APPLICANT COPY

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RANTO					

TRANSMITTAL FORM

(to be used for all correspondence after initial filing)

Total Number of Pages in This Submission

Application Number	10/808,610			
Filing Date	March 25, 2004			
First Named Inventor	Sven GRZEBETA et al.			
Group Art Unit	3624			
Confirmation No.	5030			
Attorney Docket Number	741439-12			

ENCLOSURES (check all that apply)									
Fee Transmittal Form Fee Attached Amendment / Reply After Final Affidavits/declaration(s) Extension of Time Request Express Abandonment Request Information Disclosure Statement Certified Copy of Priority Document(s) Notice to File Missing Parts of Nonprovisional Application Formalities Letter Response to Missing Parts under 37 CFR 1.52 or 1.53		ENCLOSURES (check all that apply) Assignment Papers (for an Application) Drawing(s) Declaration and Power of Attorney Licensing-related Papers Petition Petition Petition to Convert to a Provisional Application Power of Attorney, Revocation Change of Correspondence Address Terminal Disclaimer Request for Refund CD, Number of CD(s)			After Allowance Communication to Group Appeal Communication to Board of Appeals and Interferences Appeal Communication to Group (Appeal Notice, Brief, Reply Brief) Proprietary Information Status Letter Application Data Sheet Request for Corrected Filing Receipt with Enclosures A self-addressed prepaid postcard for acknowledging receipt Other Enclosure(s) (please identify below):				
1		Remarks The Commissioner is hereby authorized to charge any additional fees required or credit any overpayments to Deposit Account No. 19-2380 for the above identified docket number.							
ļ	SIGNATUE	RE OF APPLICAN	NT, ATTORNEY, O	R A	GENT				
Firm or Individual name	Marc S. Kaufman Nixon Peabody LLP 401 9 th Street, N.W. Suite 900 Washington, D.C. 2000472128								
Signature									
Date	August 4, 2004								
CERTIFICATE OF MAILING OR TRANSMISSION [37 CFR 1.8(a)] I hereby certify that this correspondence is being: deposited with the United States Postal Service on the date shown below with sufficient postage as first class mail in an envelope addressed to: Mail Stop, Commissioner for Patents, P. O. Box 1450, Alexandria, VA 22313-1450 transmitted by facsimile on the date shown below to the United States Patent and Trademark Office at (703)									
Date Signature									
		Typed or printed name							

FEE/TRANSMITTAL #FOR FY 2003 fees are subject to annual revision. TARPHIA Claims small entity status. See 37 CFR 1.27

TOTAL AMOUNT OF PAYMENT

Complete if Known Application Number 10/808,610 Filing Date March 25, 2004 Sven GRZEBETA et al. First Named Inventor Confirmation No. 5030 Art Unit 3624 Attorney Docket No. 741439-12

Date

August 4, 2004

METHOD OF PAYMENT (check all that apply) FEE CALCULATION (continued) 3. ADDITIONAL FEES Check Credit Card Other None Money Large Entity Small Entity Deposit Account: Deposit Fee Fee Fee Fee Description 19-2380 (741439-12) Account Code Code (\$) (\$) Number 1051 130 2051 65 Surcharge - late filing fee or oath \$130.00 1052 50 2052 25 Surcharge - late provisional filing fee or cover Deposit 1053 130 1053 130 Non-English specification Nixon Peabody LLP Account 1812 2,520 1812 2,520 For filing a request for ex parte reexamination Name 1804 920* 1804 920* Requesting publication of SIR prior to Examiner The Commissioner is authorized to: (check all that apply) action 1805 1,840* 1,840* 1805 ☐ Charge fee(s) indicated below Credit any overpayments Requesting publication of SIR after Examiner action Charge any additional fee(s) 1251 2251 110 55 Extension for reply within first month Charge fee(s) indicated below, except for the filing fee 1252 420 2252 210 Extension for reply within second month to the above-identified deposit account. 1253 950 2253 475 Extension for reply within third month **FEE CALCULATION** 1254 1,480 2254 740 Extension for reply within fourth month 1. BASIC FILING FEE 1255 2,010 2255 1,005 Extension for reply within fifth month Large Entity **Small Entity** 1401 330 2401 165 Notice of Appeal Fee Fee Fee Fee Description 1402 330 2402 165 Filing a brief in support of an appeal Code (\$) Code (\$) Fee Paid 1403 290 2403 145 Request for oral hearing \$770 1451 1,510 1451 1,510 Petition to institute a public use proceeding 1001 770 2001 385 Utility filing fee 1452 110 2452 55 Petition to revive - unavoidable 1002 340 2002 170 Design filing fee 1453 1,330 2453 665 Petition to revive - unintentional 1003 530 2003 265 Plant filing fee 1501 2501 1.330 665 Utility issue fee (or reissue) 1004 770 2004 385 Reissue filing fee 1502 480 2502 240 Design issue fee Provisional filing fee 1005 160 2005 80 1503 2503 640 320 Plant issue fee SUBTOTAL (1) | \$770.00 1460 130 1460 130 Petitions to the Commissioner 1807 50 1807 50 Processing fee under 37 CFR 1.17(q) EXTRA CLAIM FEES FOR UTILITY AND REISSUE 1806 180 1806 180 Submission of Information Disclosure Stmt 8021 8021 \$40.00 Fee from 40 40 Recording each patent assignment per property Extra Claims Fee Paid below (times number of properties) **Total Claims** 44 X \$18 2809 1809 770 385 Filing a submission after final rejection \$792.00 (37 CFR 1.129(a)) 1 X \$86 Independent -3**= \$86.00 1810 2810 770 385 For each additional invention to be examined Claims (37 CFR 1.129(b)) Multiple Dependent 1801 2801 \$290 770 385 Request for Continued Examination (RCE) Large Entity Small Entity 1802 900 1802 900 Request for expedited examination of a design Fee Description application Code (\$) Code (\$) Other fee (specify) 1202 18 2202 9 Claims in excess of 20 1201 86 2201 43 Independent claims in excess of 3 *Reduced by Basic Filing Fee Paid SUBTOTAL (3) \$170.00 1203 290 2203 145 Multiple dependent claim, if not paid 1204 86 2204 43 ** Reissue independent claims over original patent 1205 18 2205 ** Reissue claims in excess of 20 and over original patent SUBTOTAL (2) \$878.00 **or number previously paid, if greater, For Reissues, see above SUBMITTED BY Complete (if applicable) Registration No. Mare S. Kaufman 35,212 Name (Print/Type) (202) 585-8000 Telephone

(Attorney/Agent)

Signature